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UTILITY

PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2002 P 12234 US

First Inventor Brintzinger, et al.

Title Method for Forming Three-Dimensional Structures on a Substrate

Express Mail Label No. EL 994722888 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-145022154 07393617
PTO

121103

- 1.
-
- Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)

- 2.
-
- Applicant claims small entity status.
-
- See 37 CFR 1.27.

- 3.
-
- Specification [Total Pages 16]

(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- 4.
-
- Drawing(s) (35 U.S.C. 113) [Total Sheets 2]

5. Oath or Declaration (Unsigned) [Total Sheets 3]

- a.
-
- Newly executed (original or copy)

- b.
-
- Copy from a prior application (37 CFR 1.63 (d))
-
- (for continuation/divisional with Box 18 completed)

i. DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s)
name in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

- 6.
-
- Application Data Sheet. See 37 CFR 1.76

- 7.
-
- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
-
- (if applicable, all necessary)

- a.
-
- Computer Readable Form (CRF)

- b. Specification Sequence Listing on:

- i.
-
- CD-ROM or CD-R (2 copies); or

- ii.
-
- paper

- c.
-
- Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9.
-
- Assignment Papers (cover sheet & document(s))

- 10.
-
- 37 CFR 3.73(b) Statement (when there is an assignee)
-
- Power of Attorney

- 11.
-
- English Translation Document (if applicable)

- 12.
-
- Information Disclosure Statement (IDS)/PTO-1449
-
- Copies of IDS Citations

- 13.
-
- Preliminary Amendment

- 14.
-
- Return Receipt Postcard (MPEP 503)
-
- (Should be specifically itemized)

- 15.
-
- Certified Copy of Priority Document(s)
-
- (if foreign priority is claimed)

- 16.
-
- Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

- 17.
-
- Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Art Unit: _____

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

 Customer Number

25962

OR Correspondence address below

Name Slater & Matsil, L.L.P.

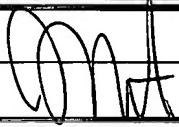
Address 17950 Preston Rd.

Suite 1000

City Dallas State Texas Zip Code 75252-5793

Country USA Telephone 972-732-1001 Fax 972-732-9218

Name (Print/type) Ira S. Matsil Registration No. (Attorney/Agent) 35,272

Signature  Date 12/11/2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 806.00)

Complete if Known

Application Number	TBD
Filing Date	Herewith
First Named Inventor	Brintzinger, et al.
Examiner Name	TBD
Art Unit	TBD
Attorney Docket No.	2002 P 12234 US

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None Deposit Account

Deposit Account Number	50-1065
Deposit Account Name	Slater & Matsil, L.L.P.

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 750	2001 375	Utility filing fee	770.00
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 770.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	-20**=	Extra Claims		Fee from below	Fee Paid
		2	X	18	= 36.00
Independent Claims	-3**=	2	X	86	= 0.00
Multiple Dependent					

SUBTOTAL (2) (\$ 36.00)

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1051 130	2051 65	Surcharge – late filing fee or oath	
1052 50	2052 25	Surcharge – late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive – unavoidable	
1453 1,300	2453 650	Petition to revive – unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) _____

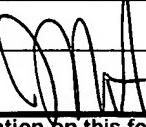
*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Ira S. Matsil		Registration No. (Attorney/Agent)	35,272	Telephone	972-732-1001
Signature					Date	12/11/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brintzinger, et al. Docket No.: 2002 P 12234 US
Serial No.: TBD Art Unit: TBD
Filed: Herewith Examiner: TBD
For: Method for Forming Three-Dimensional Structures on a Substrate

Certificate of Mailing via Express Mail

EXPRESS MAIL mailing label number: EL 994722888 US

Date of Deposit: December 11, 2003

I hereby certify that the following documents, which are enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to: Mail Stop: Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450:

Certificate of Mailing via Express Mail (1 page)
Utility Patent Application Transmittal (1 page)
Fee Transmittal (1 original and 1 copy = 2 pages)
Complete Copy of Patent Application (18 pages), to include:
 Specification (16 pages)
 Drawing sheets (2 pages)
Unsigned Declaration and Power of Attorney (3 pages)
Certified Copy of Priority Document
Return Postcard

Respectfully submitted,



Natalie Swider
Legal Assistant

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